

# Federated Learning with Partial Model Personalization

ICML 2022

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Pillutla**



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Mohamed



Mike  
Rabbat



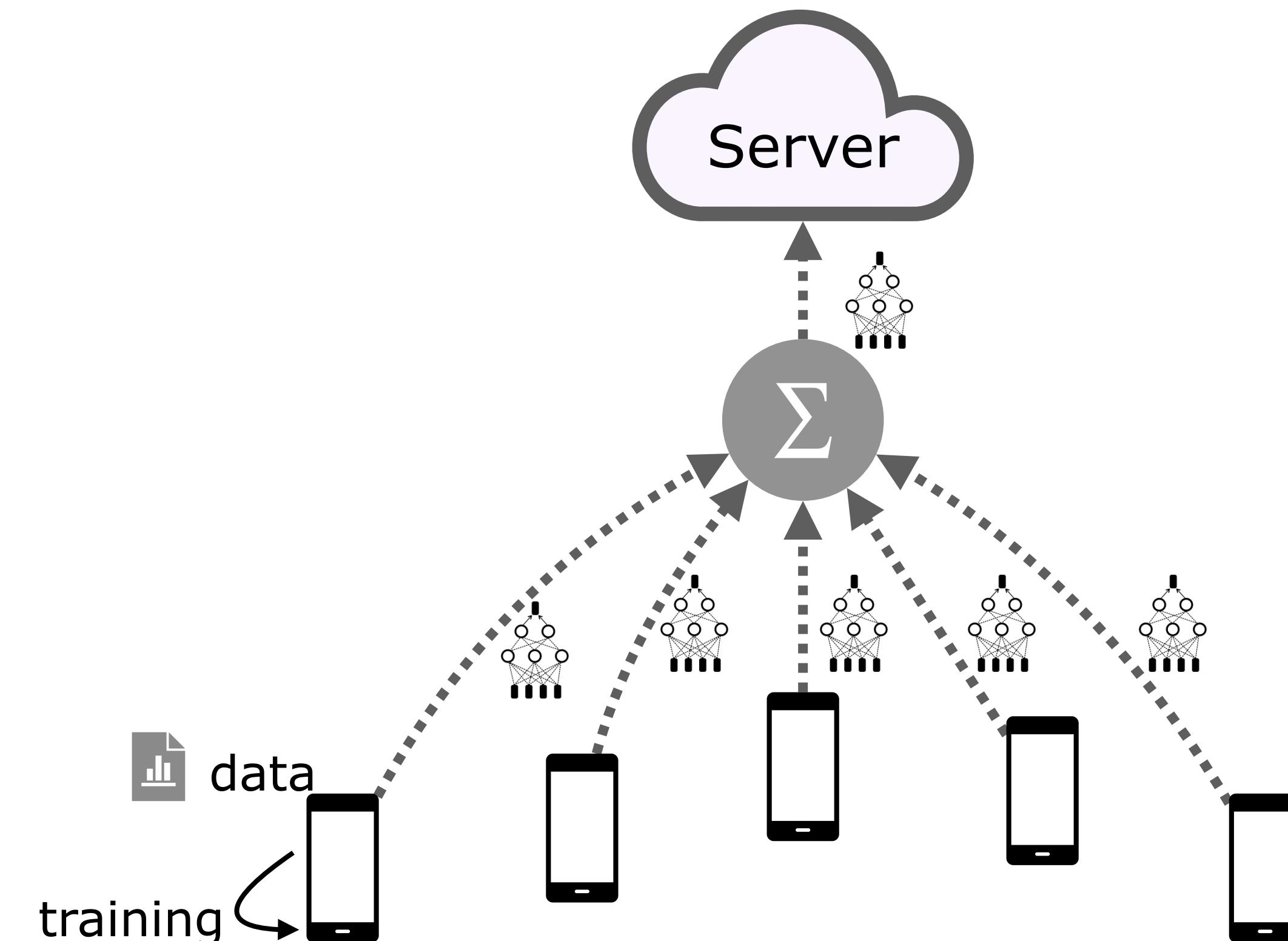
Maziar  
Sanjabi



Lin  
Xiao



# Personalized federated learning



Model on client  $i = (\textcolor{blue}{u}, \textcolor{brown}{v}_i)$

**Objective:**

$$\min_{u, v_1, \dots, v_n} \frac{1}{n} \sum_{i=1}^n F_i(u, v_i)$$

$u$ : shared parameters

$v_i$ : personal parameters

# Our contributions

**1. Theory:** Analysis of 2 popular optimization algos

**Objective:**  $\min_{u, v_1, \dots, v_n} \frac{1}{n} \sum_{i=1}^n F_i(u, v_i)$

**2. Extensive experiments:** text, vision, and speech settings

$u$ : shared parameters

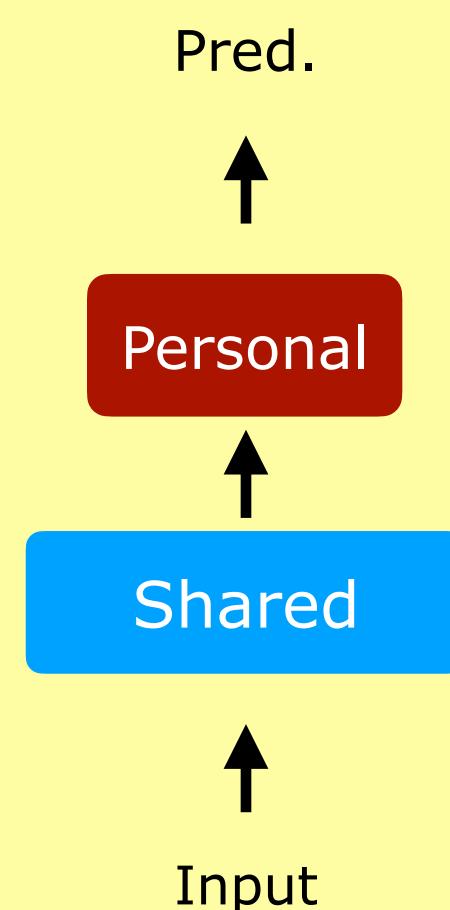
$v_i$ : personal parameters

**Code:**



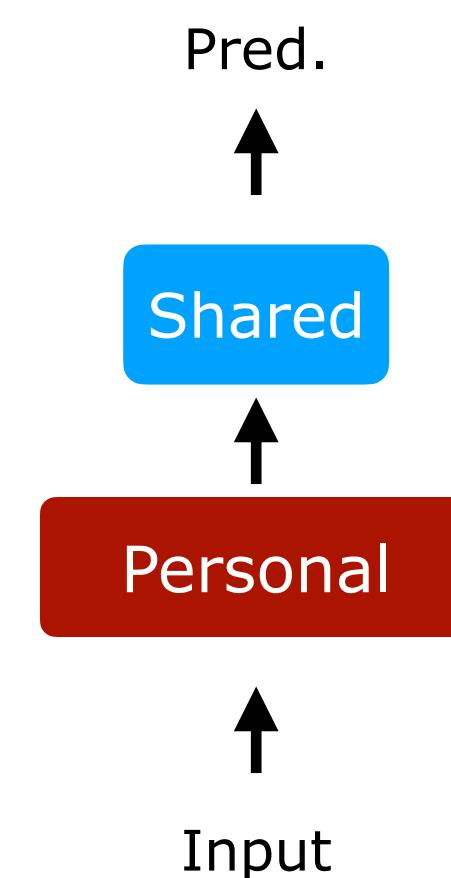
# Personalization architectures

Personalized output layer



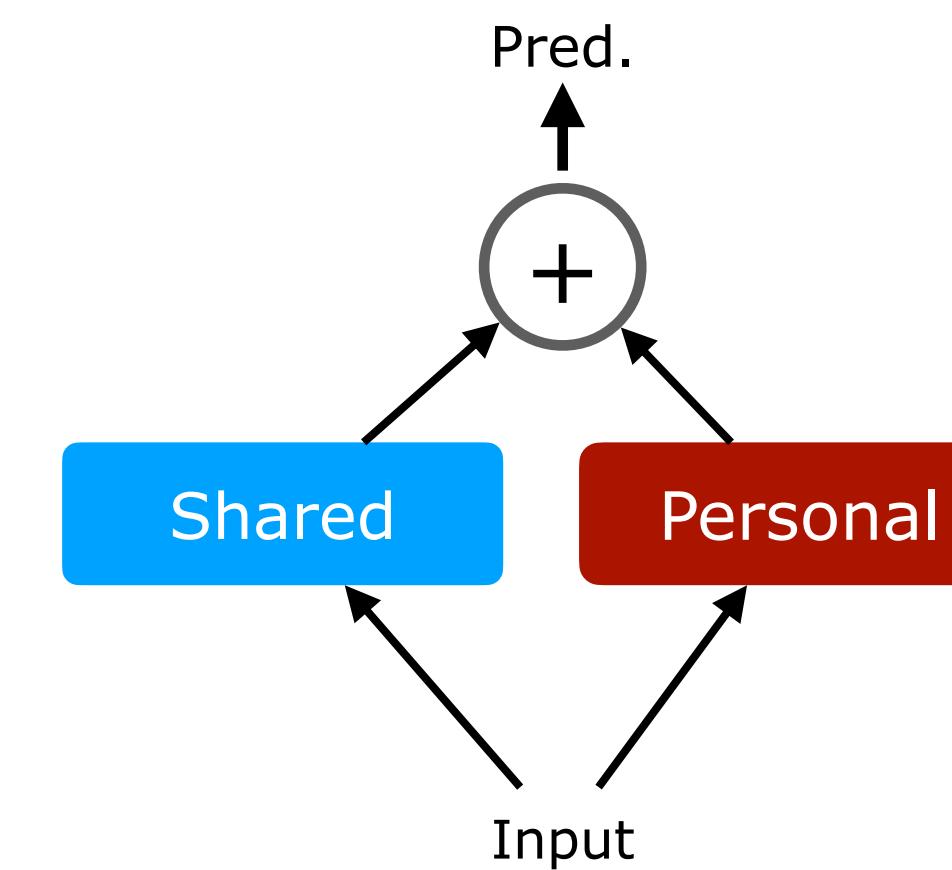
Arivazhagan et al. (2019)  
Collins et al. ICML (2021)

Personalized input layer



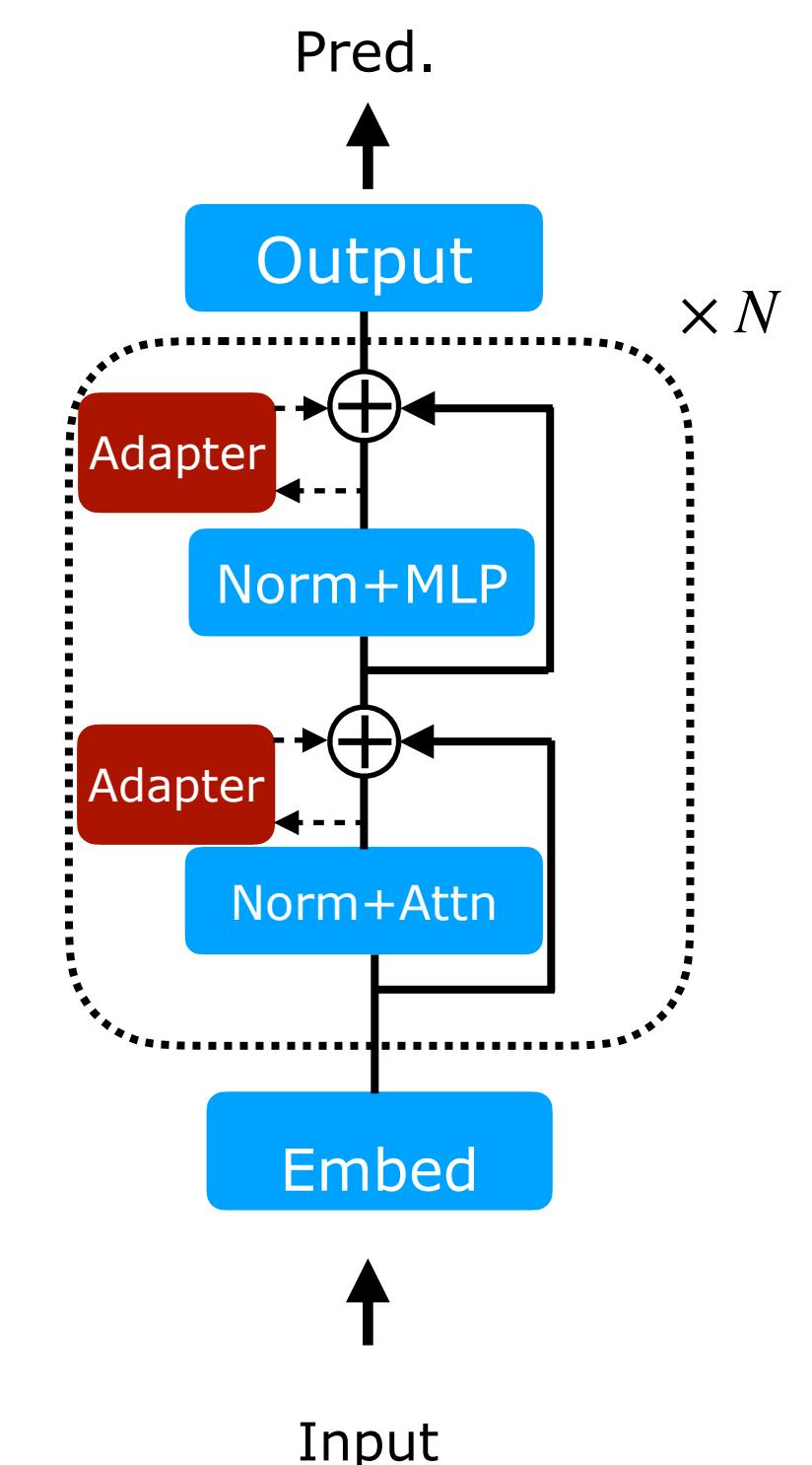
Liang et al. (2019)

Combined predictions



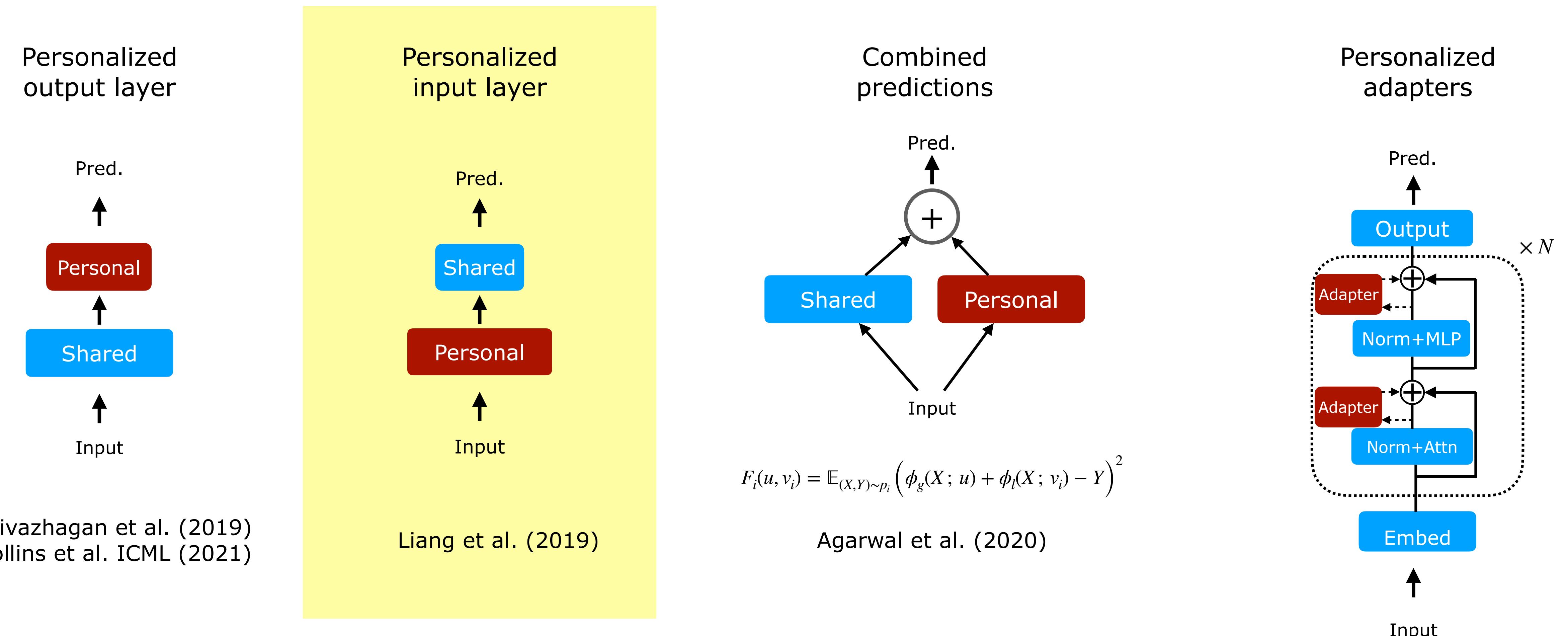
Agarwal et al. (2020)

Personalized adapters



**Multi-task learning:** Caruana. Mach. Learn (1997), Baxter. JAIR (2000),  
Evgeniou & Pontil. KDD (2004), Collobert & Weston. ICML (2005),  
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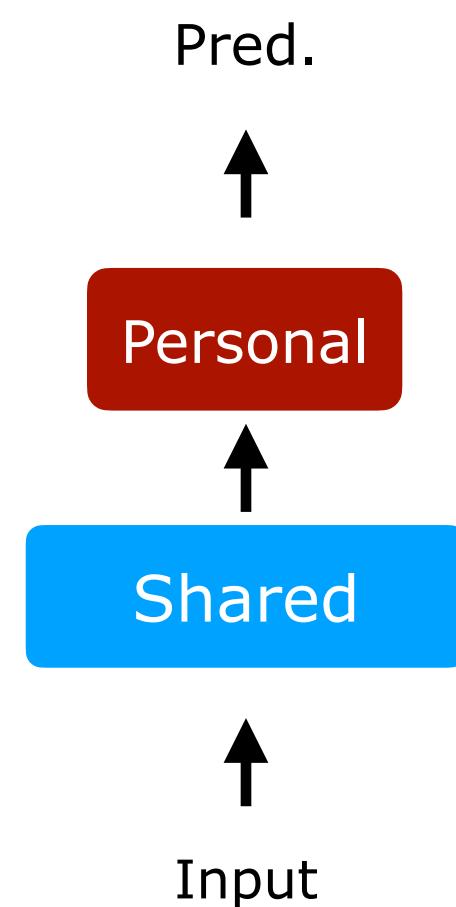
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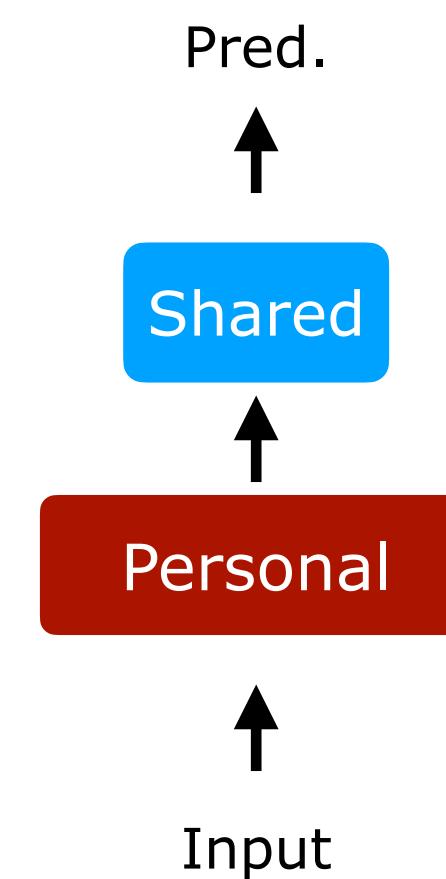
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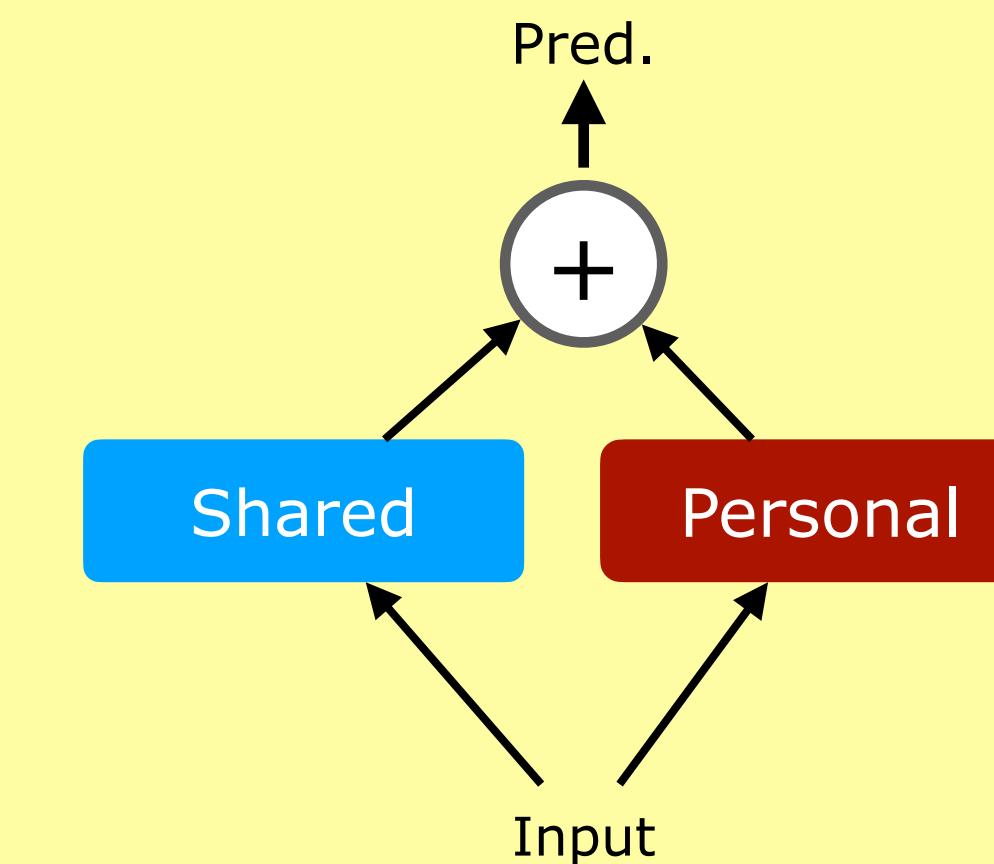
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Personalized input layer



Liang et al. (2019)

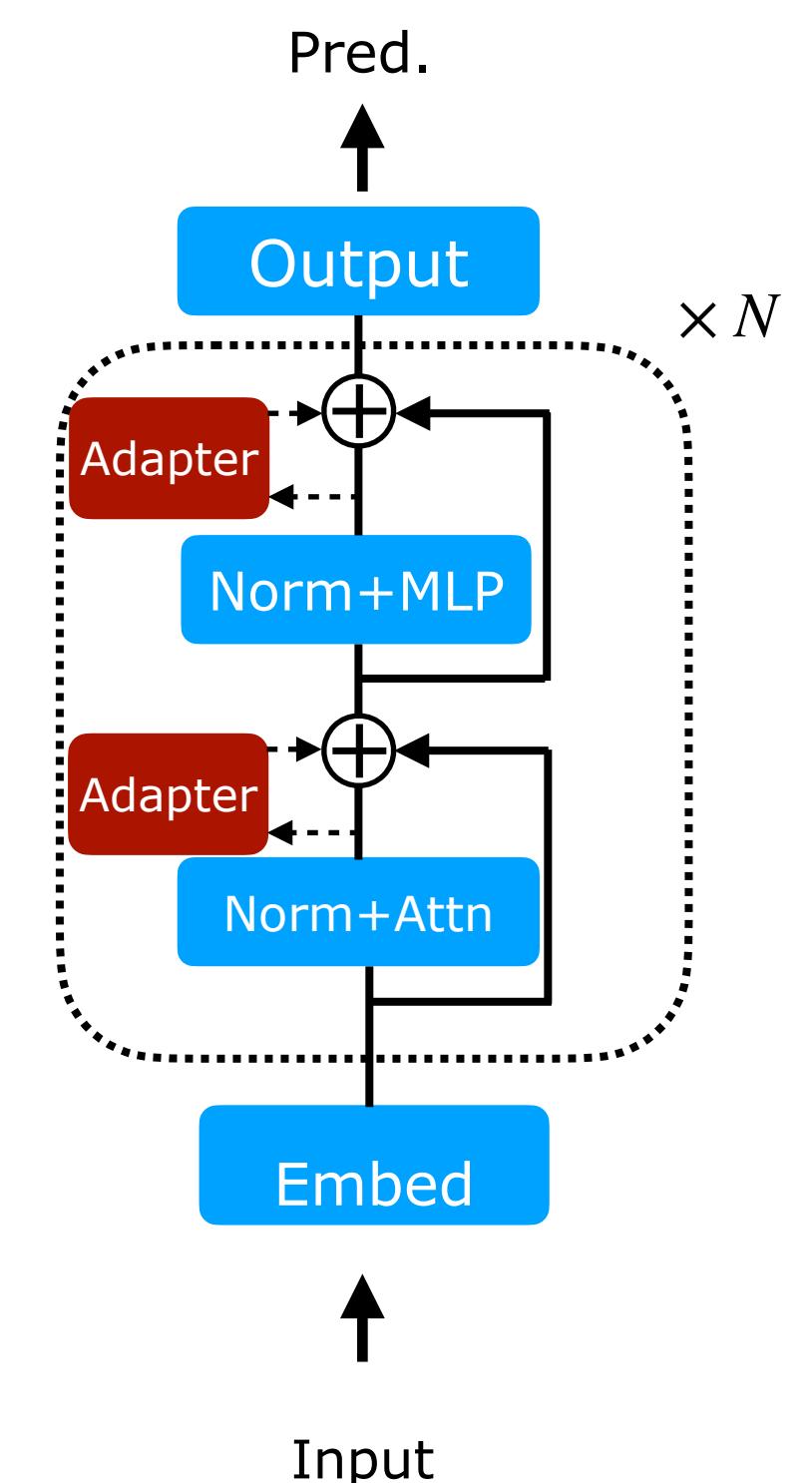
Combined predictions



$$F_i(u, v_i) = \mathbb{E}_{(X,Y) \sim p_i} \left( \phi_g(X; u) + \phi_l(X; v_i) - Y \right)^2$$

Agarwal et al. (2020)

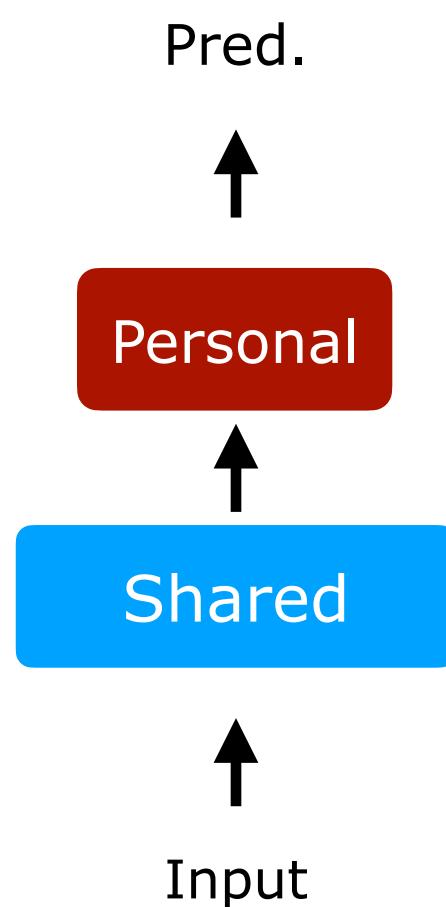
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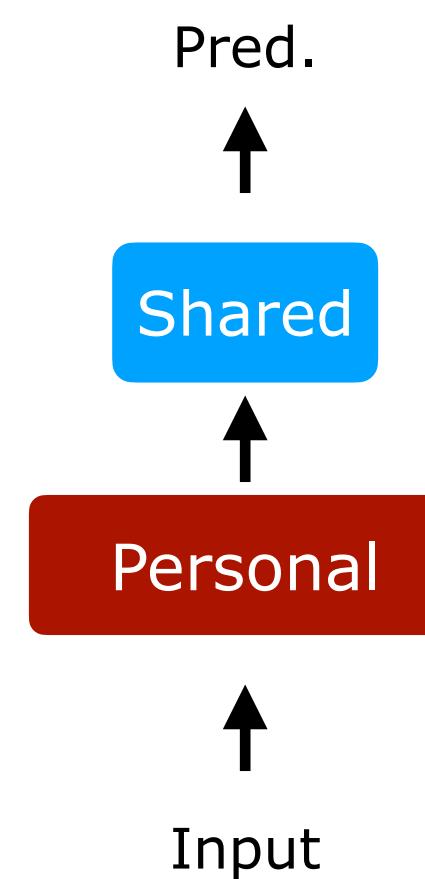
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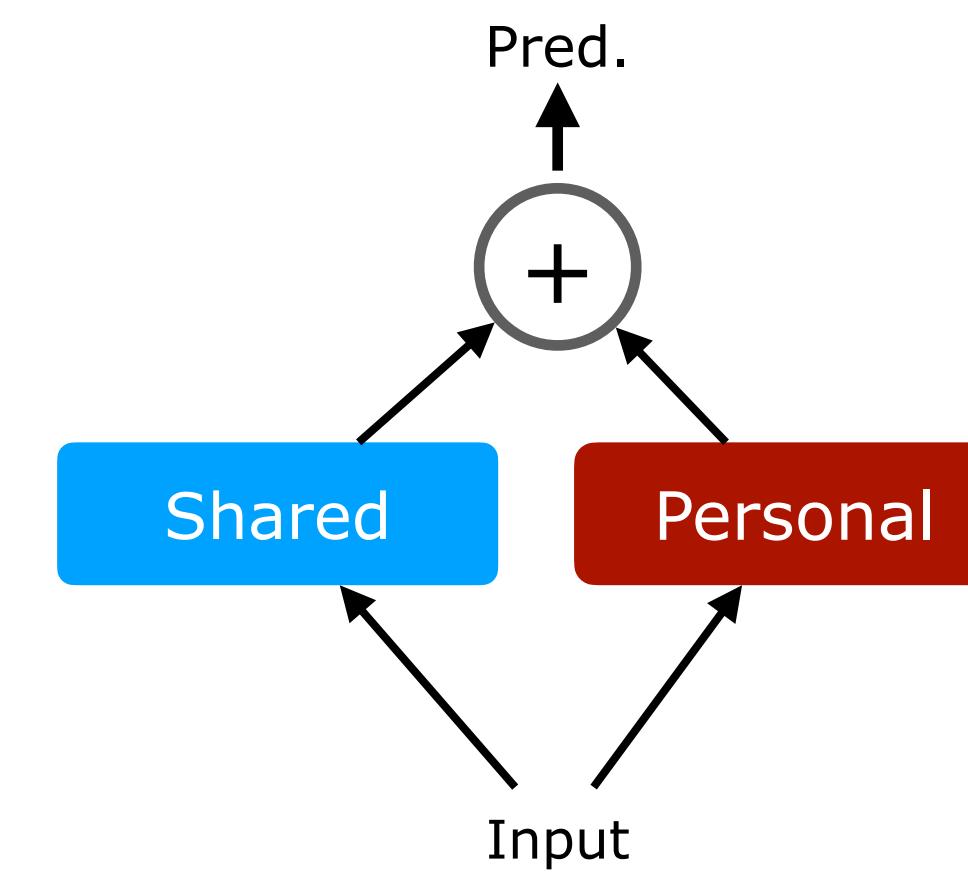
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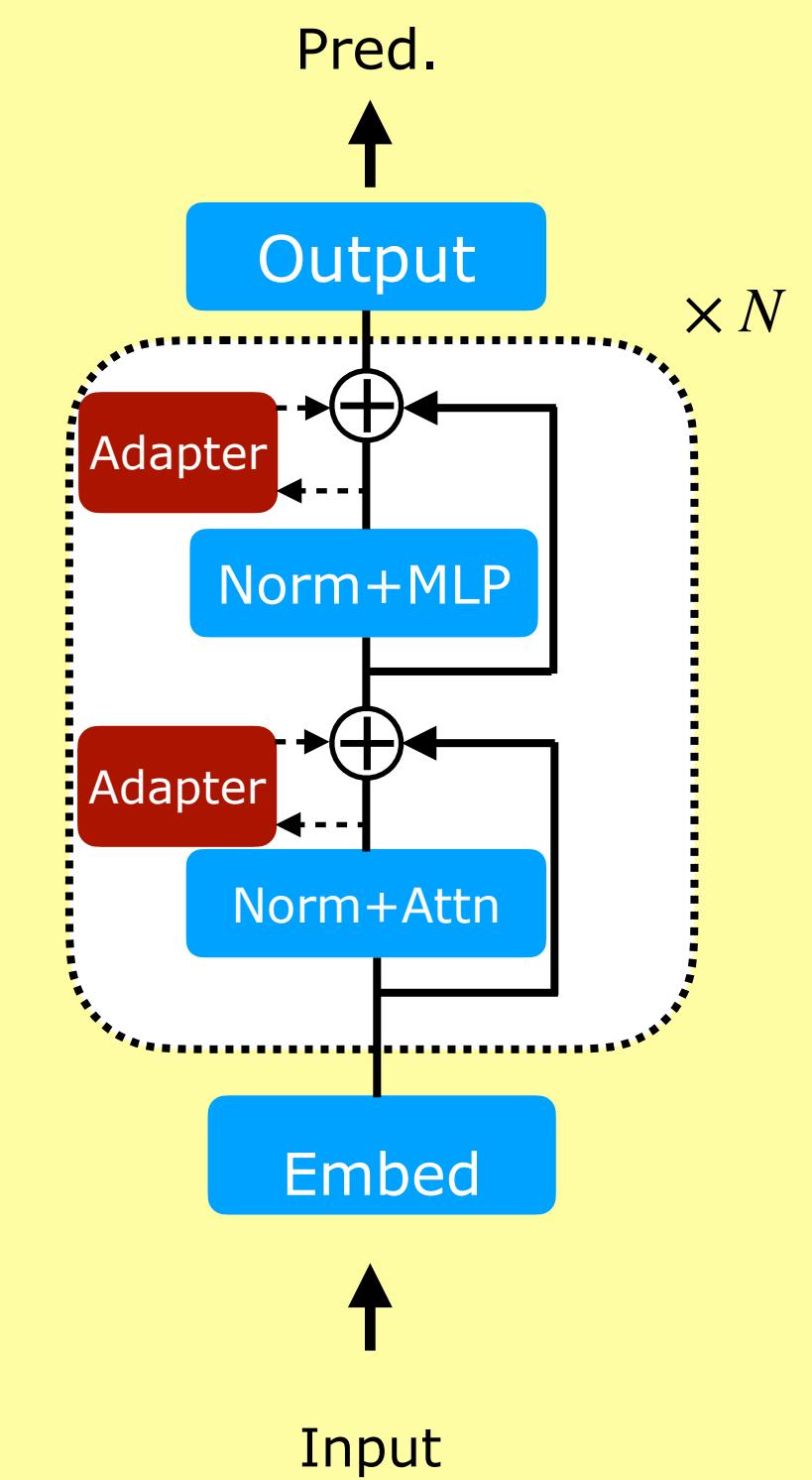
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# Optimization

- Server samples  $m$  clients and broadcast global model  $u$

- **Local updates** on client  $i$ :

$$(u_i^+, v_i^+) = \text{LocalUpdate}_i(u, v_i)$$

- Aggregate updates to global part of the model:

$$u^+ = \frac{1}{m} \sum_i u_i^+$$

*Alternating update*

$$v_i^+ = v_i - \gamma \nabla_v F_i(u, v_i)$$

$$u_i^+ = u - \gamma \nabla_u F_i(u, v_i^+)$$

Collins et al. ICML (2021)  
Singhal et al. NeurIPS (2021)

*Simultaneous update*

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# Contribution 1: Theory

**Theorem** [P., Malik, Mohamed, Rabbat, Sanjabi, Xiao]

For smooth, nonconvex functions and client sampling, we have the rates:

Alternating update:  $\frac{\sigma_1^2}{\sqrt{t}}$

Simultaneous update:  $\frac{\sigma_2^2}{\sqrt{t}}$

where  $\sigma_1^2 < \sigma_2^2$  under typical scenarios

*Alternating update*

$$\textcolor{red}{v}_i^+ = v_i - \gamma \nabla_v F_i(u, v_i)$$

$$\textcolor{red}{u}_i^+ = u - \gamma \nabla_u F_i(u, \textcolor{blue}{v}_i^+)$$

*Simultaneous update*

$$\textcolor{red}{v}_i^+ = v_i - \gamma \nabla_v F_i(u, v_i)$$

$$\textcolor{red}{u}_i^+ = u - \gamma \nabla_u F_i(u, \textcolor{yellow}{v}_i)$$

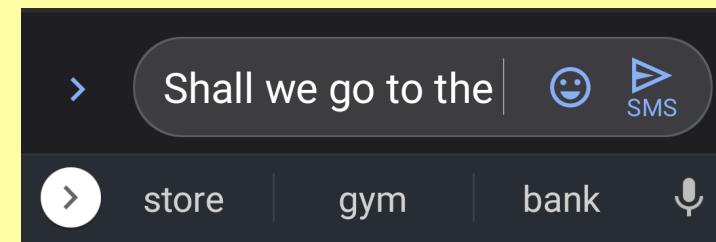
**Experimentally**, small but consistent trend of alternating > simultaneous

# Contribution 1: Theory

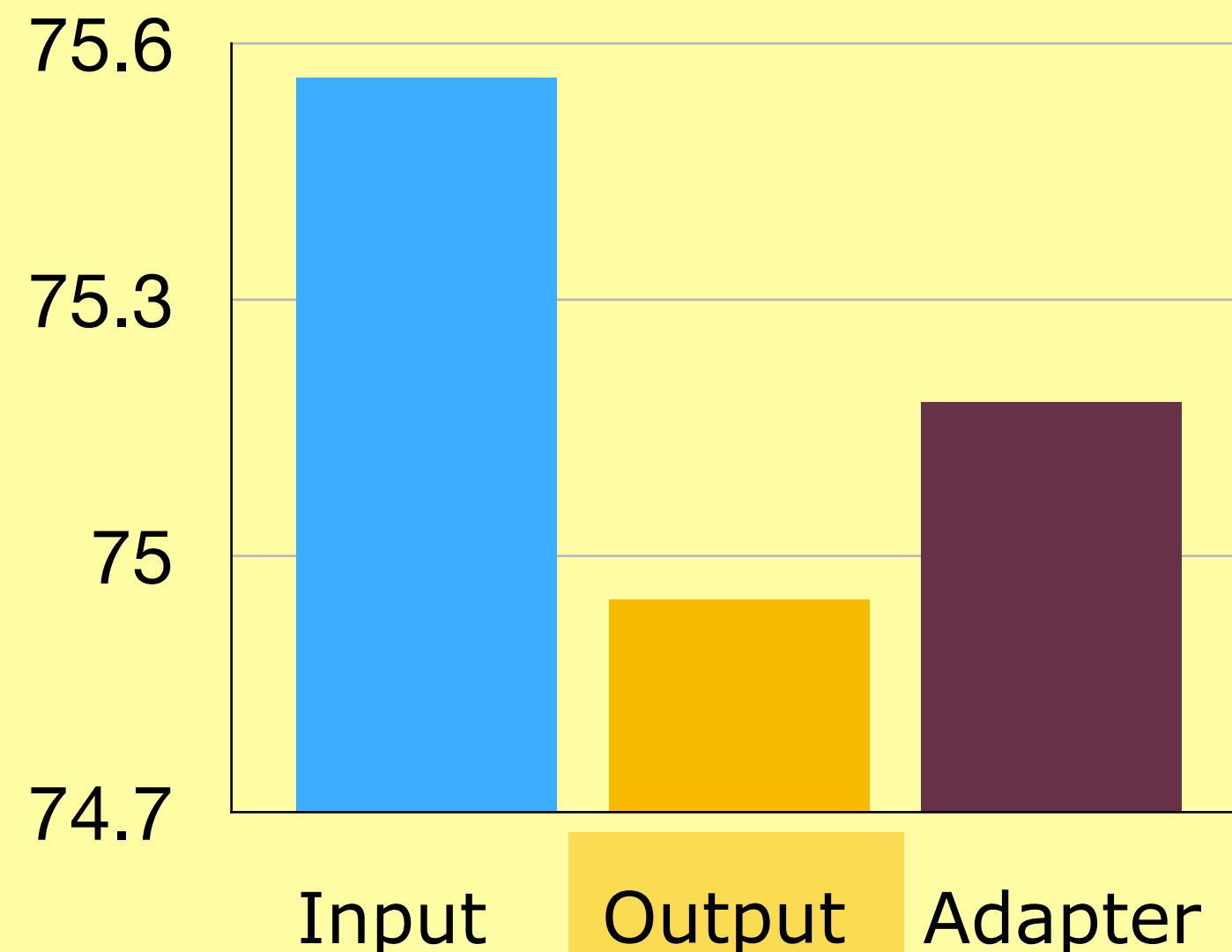
**Key technical challenge:** Dependent random variables in alternating update algorithm due to random sampling of clients

**Methodology:** Developed technique of **virtual full participation**

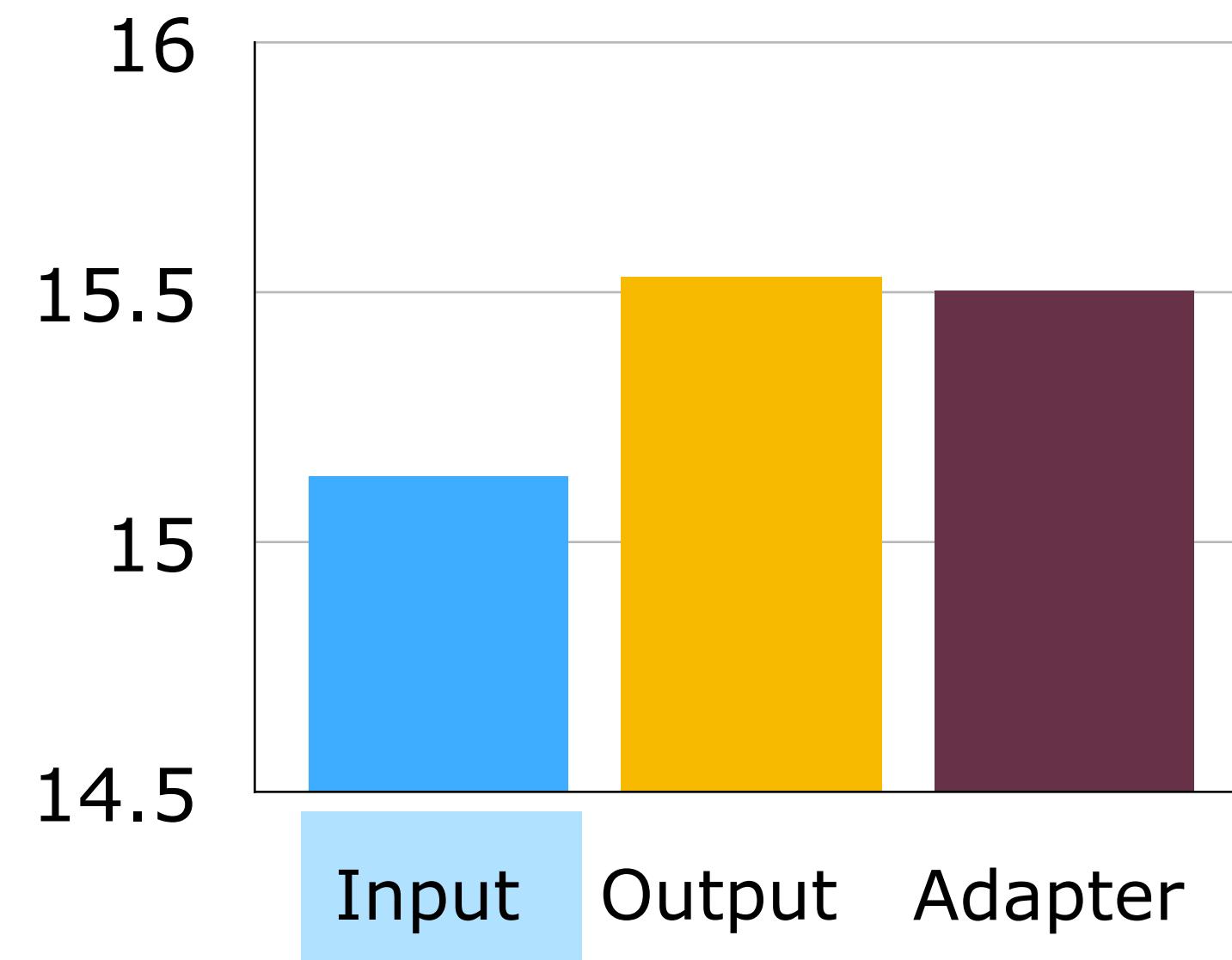
# Contribution 2: Experiments



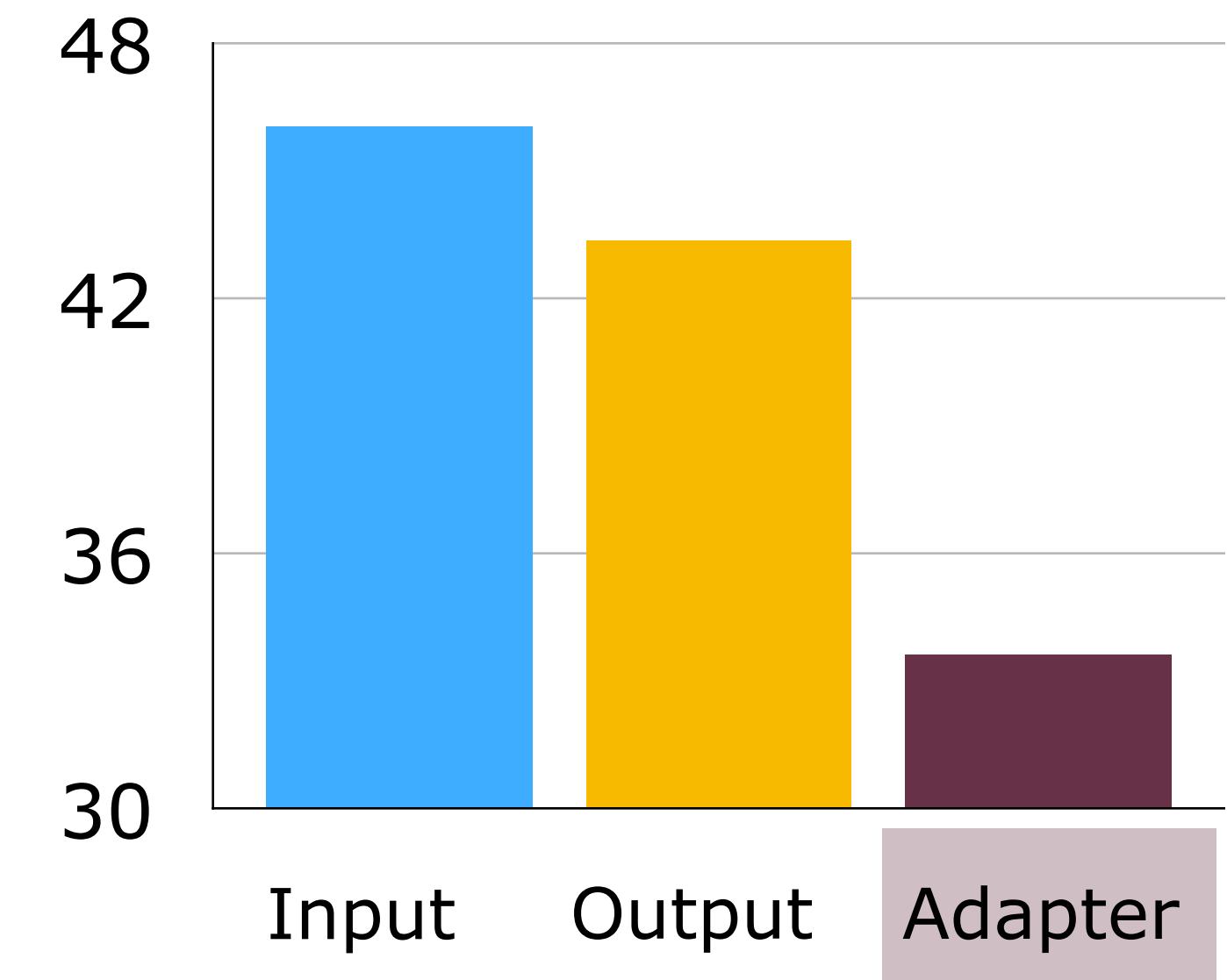
Next word prediction



Speech recognition

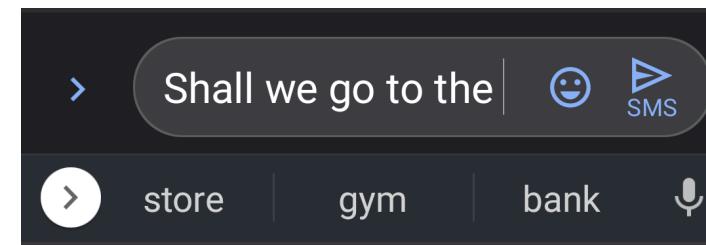


Landmark detection

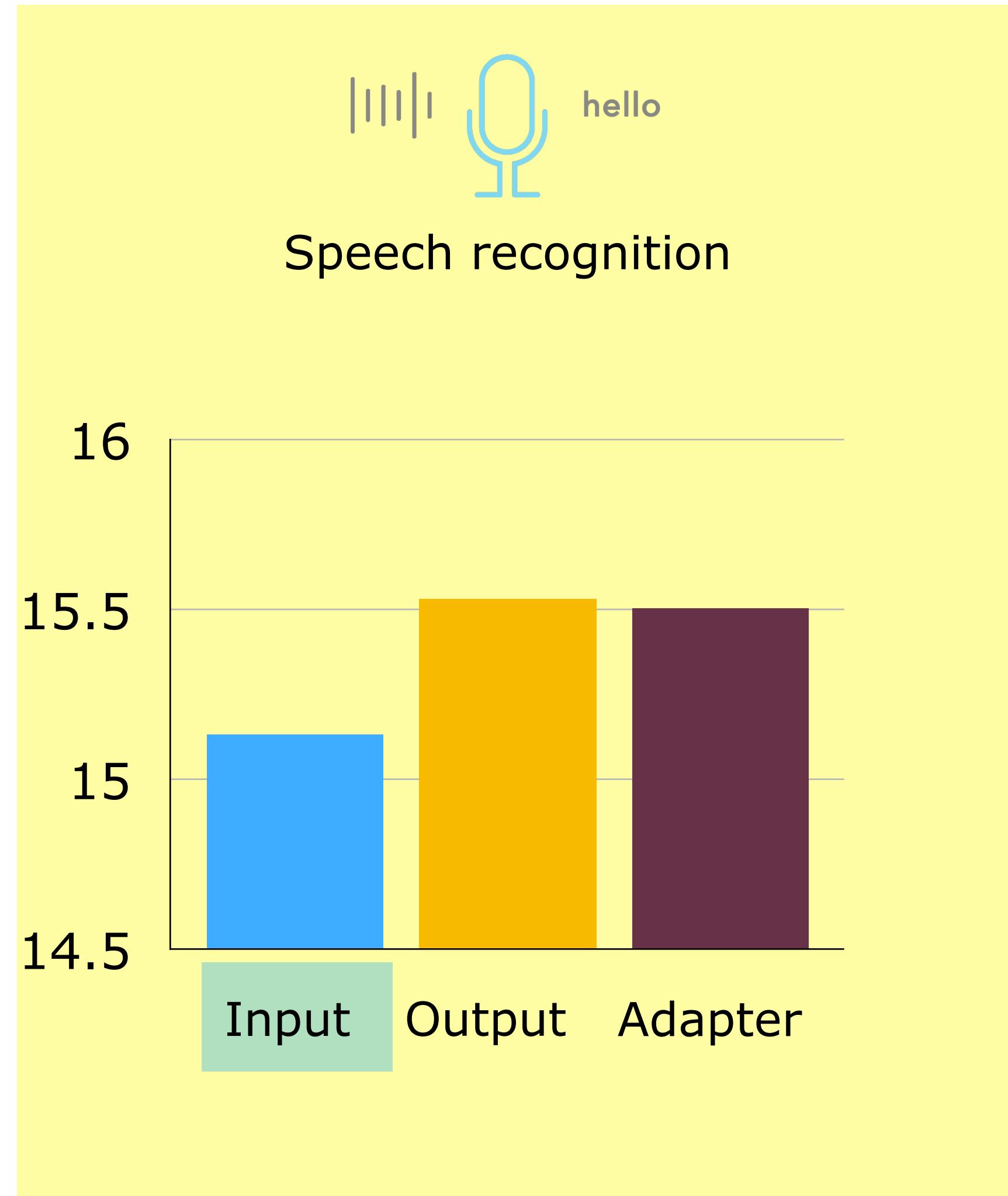
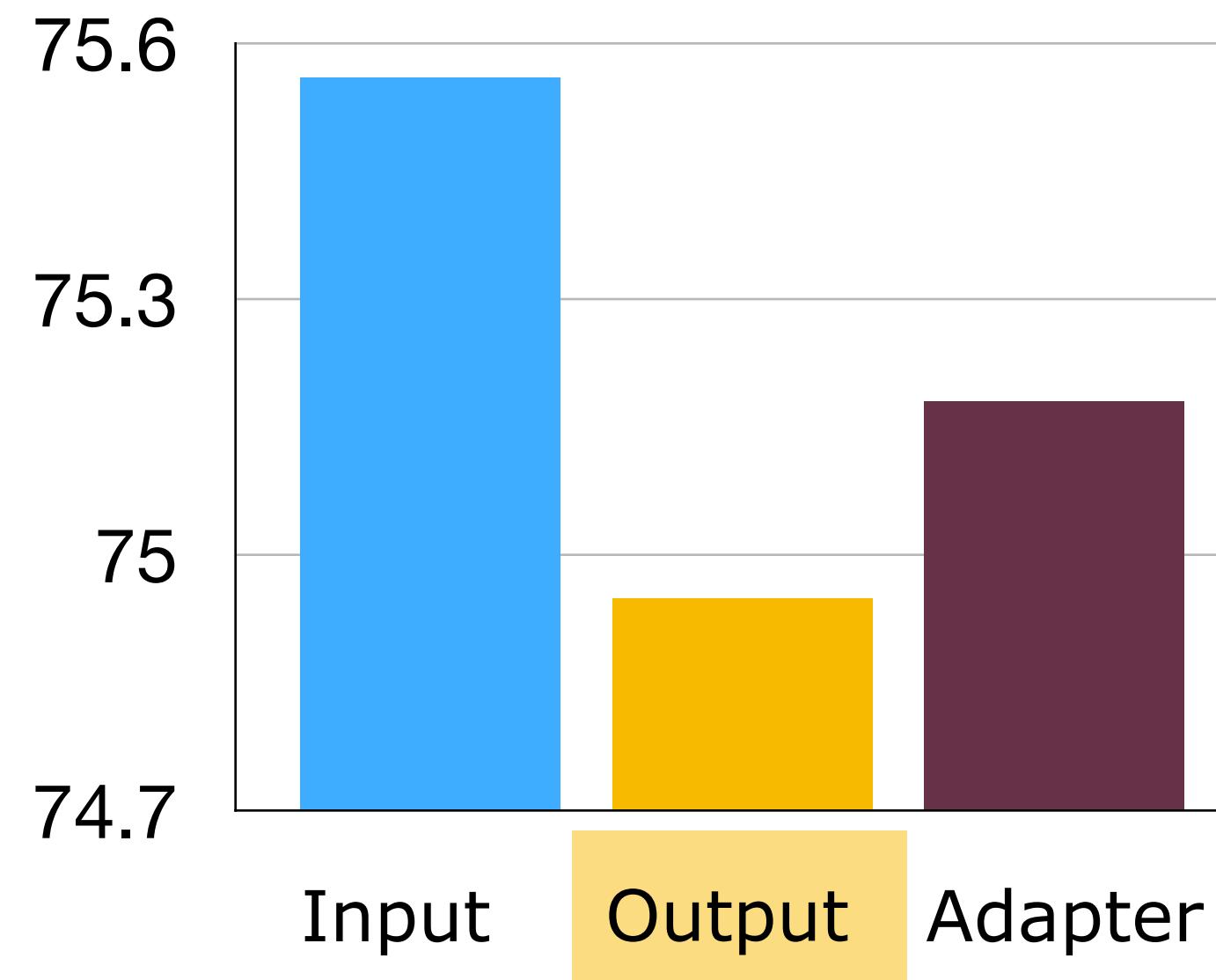


y-axis shows error: lower is better

# Contribution 2: Experiments



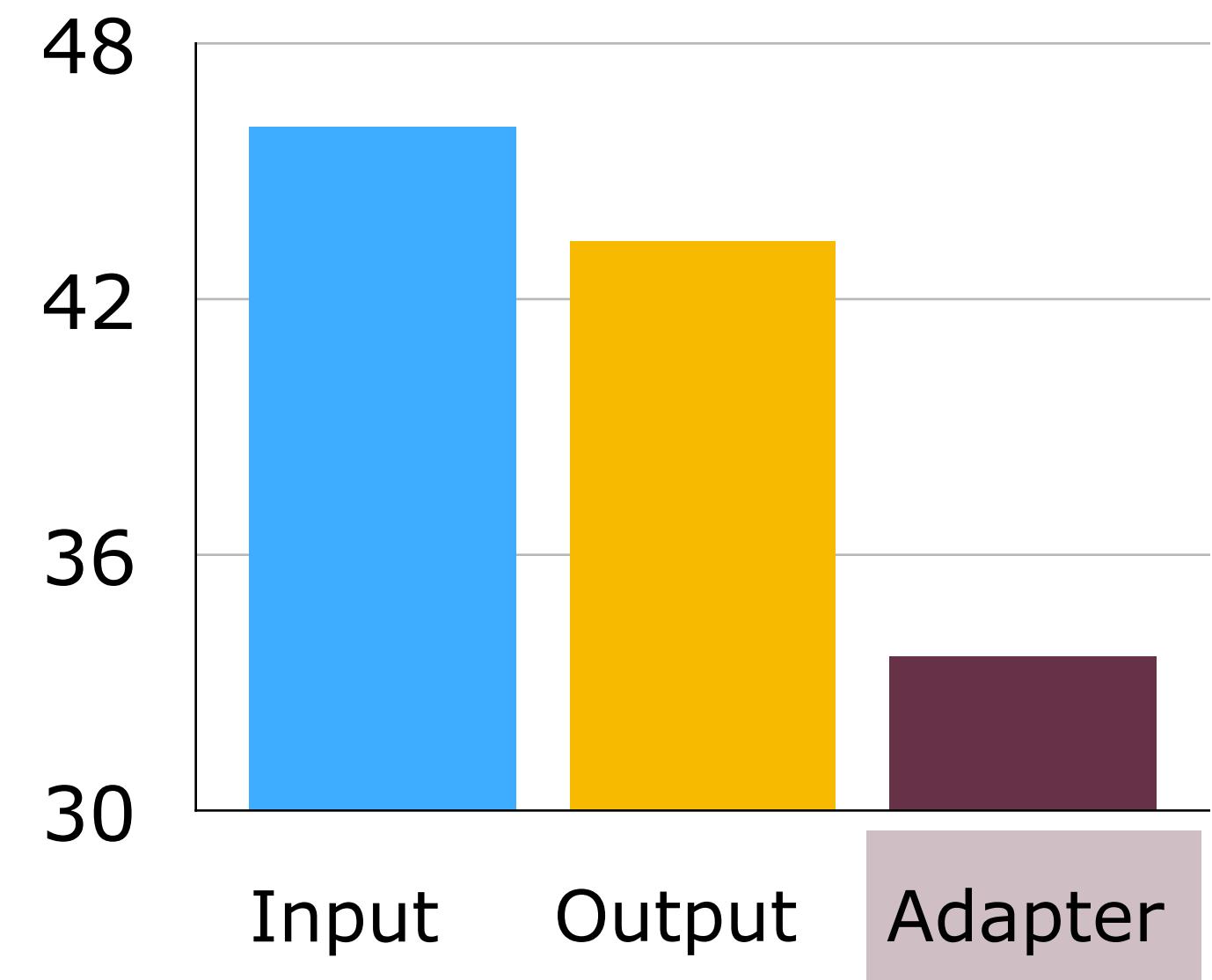
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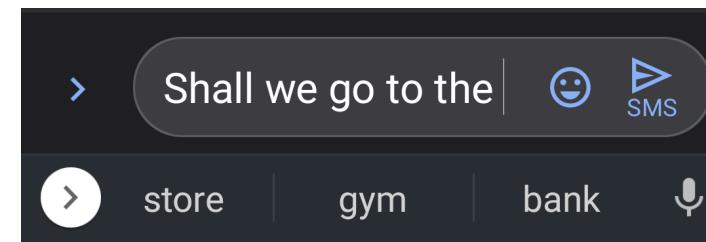
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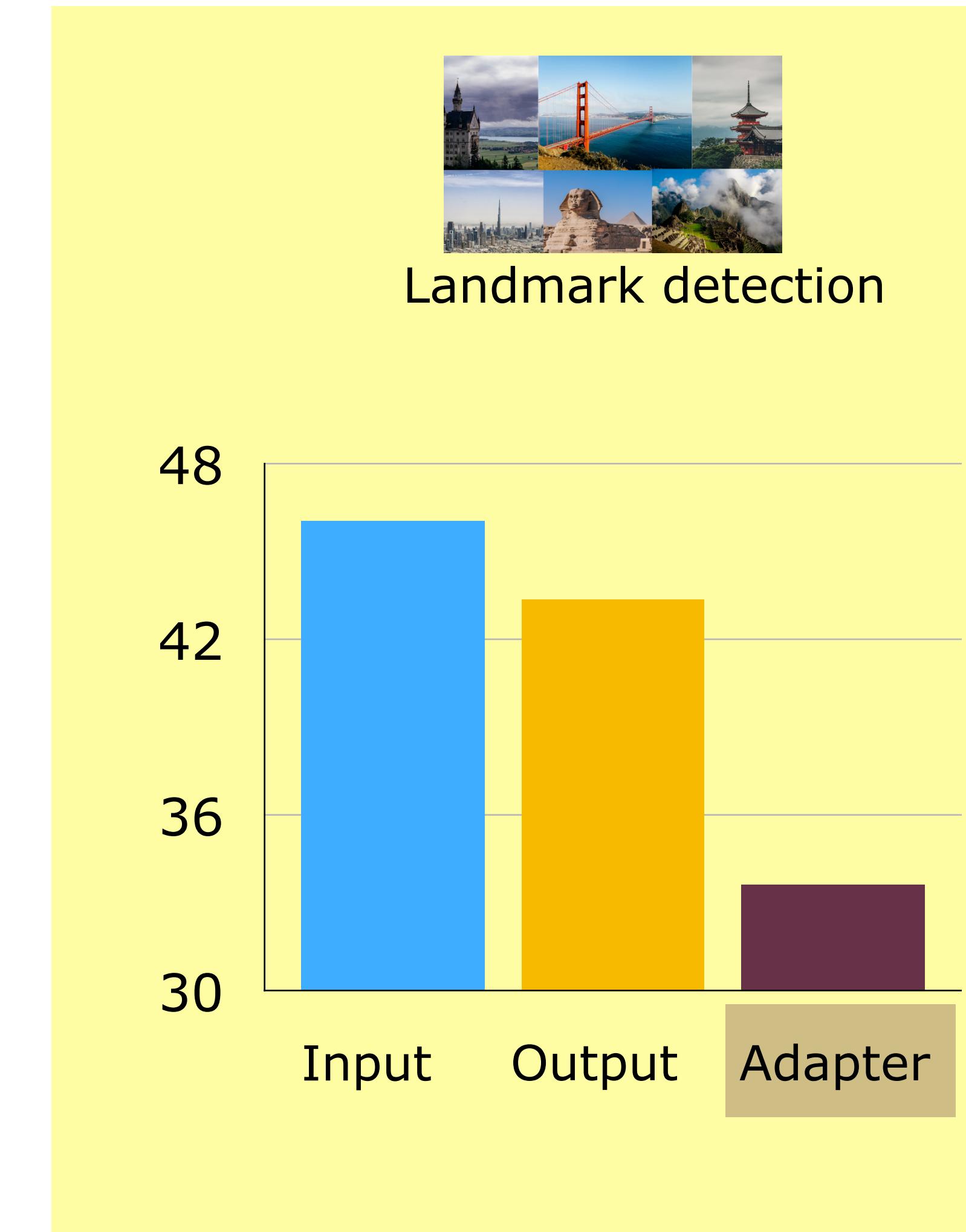
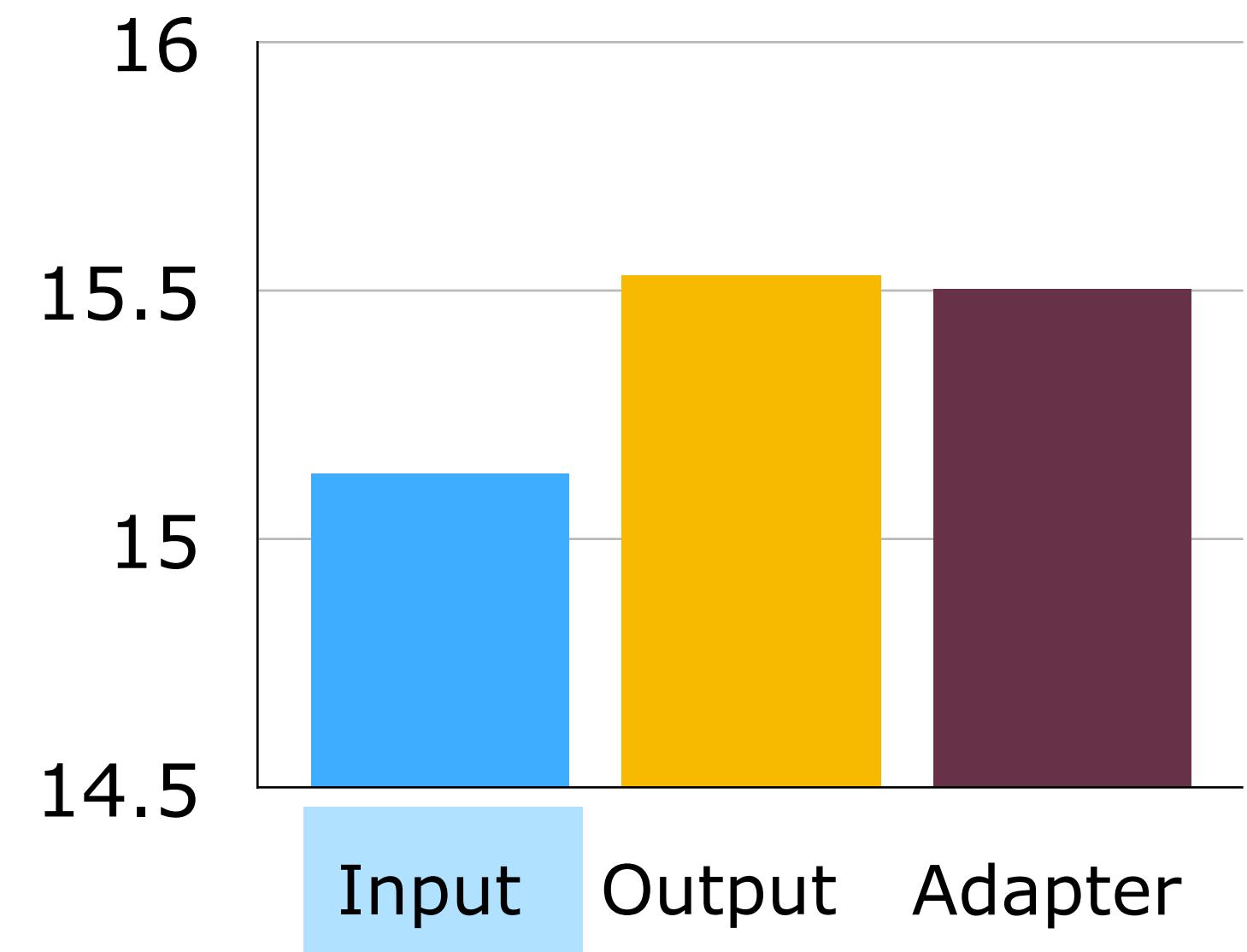
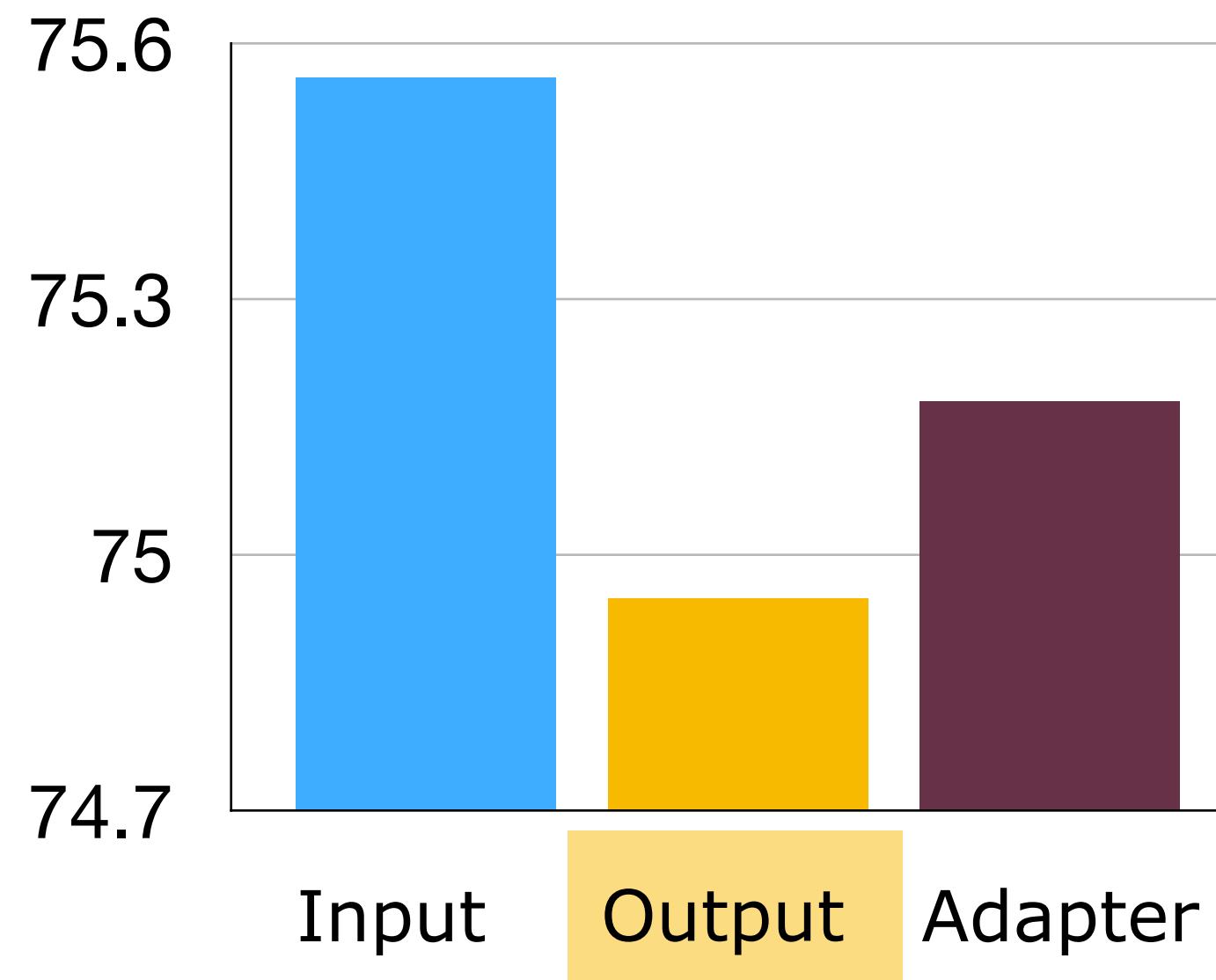
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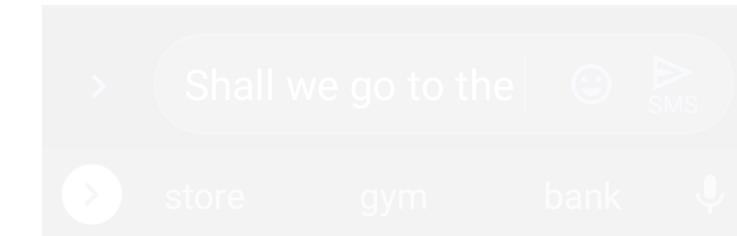


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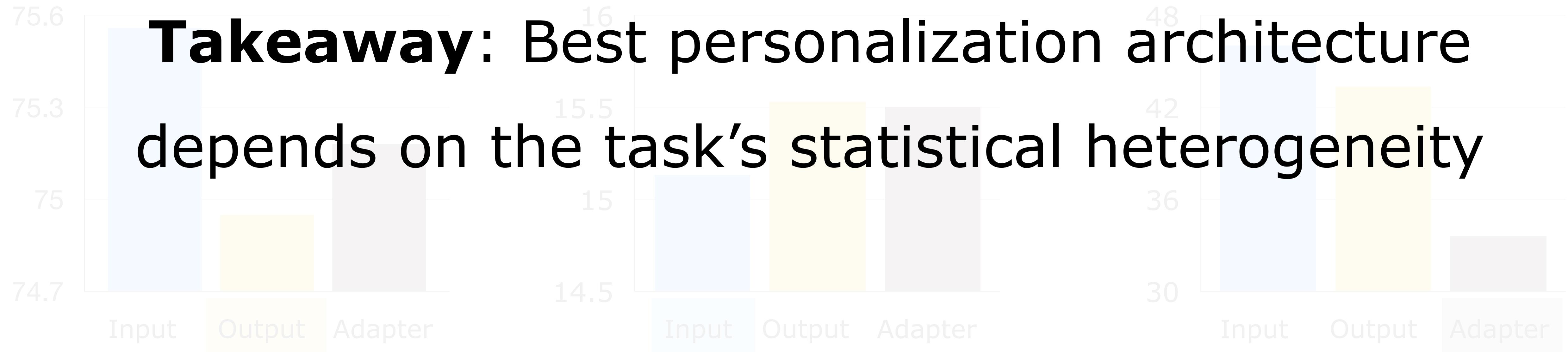
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# Federated Learning with Partial Model Personalization



**Paper:** arXiv:2204.03809



**Code:** [https://github.com/krishnap25/FL\\_partial\\_personalization](https://github.com/krishnap25/FL_partial_personalization)