

Federated Learning with Partial Model Personalization

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Personalized federated learning

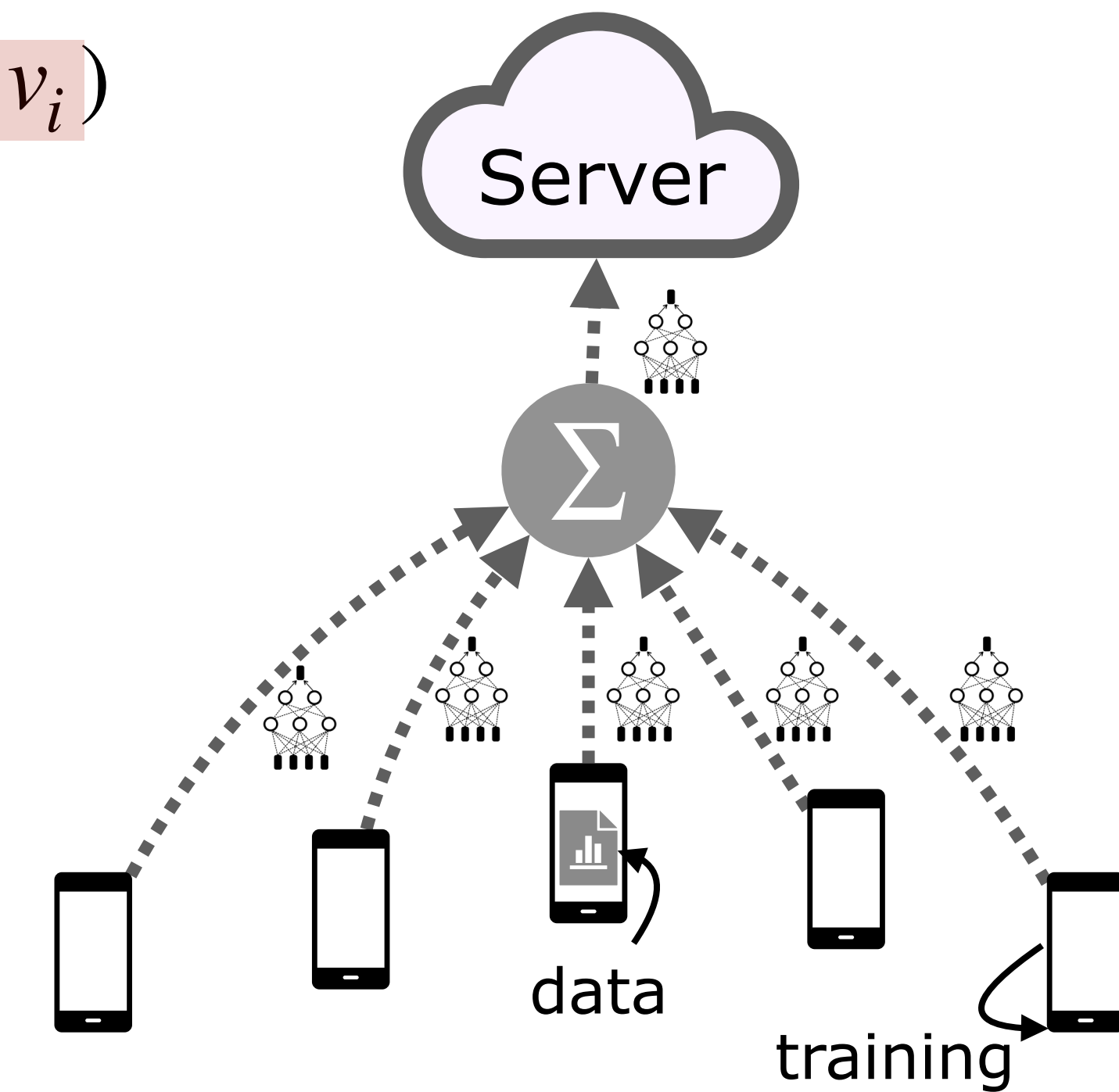
Model on client $i = (u, v_i)$

Objective:

$$\min_{u, v_1, \dots, v_n} \frac{1}{n} \sum_{i=1}^n F_i(u, v_i)$$

u : shared parameters

v_i : personal parameters



Our Contributions

1. Theory: Analysis of 2 popular optimization algos

2. Extensive experiments: text, vision, and speech settings

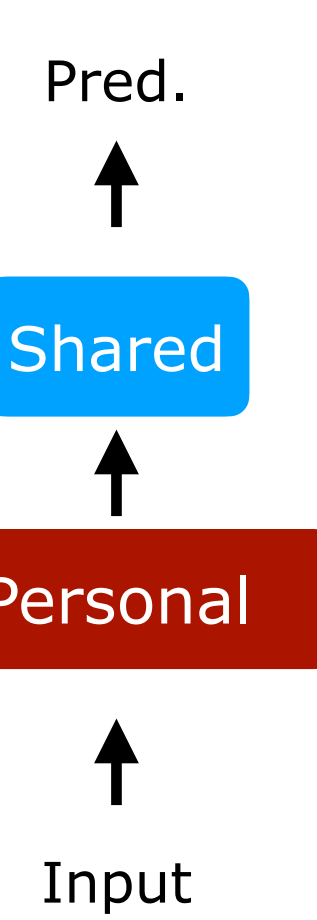
Personalization Architectures

Personalized output layer



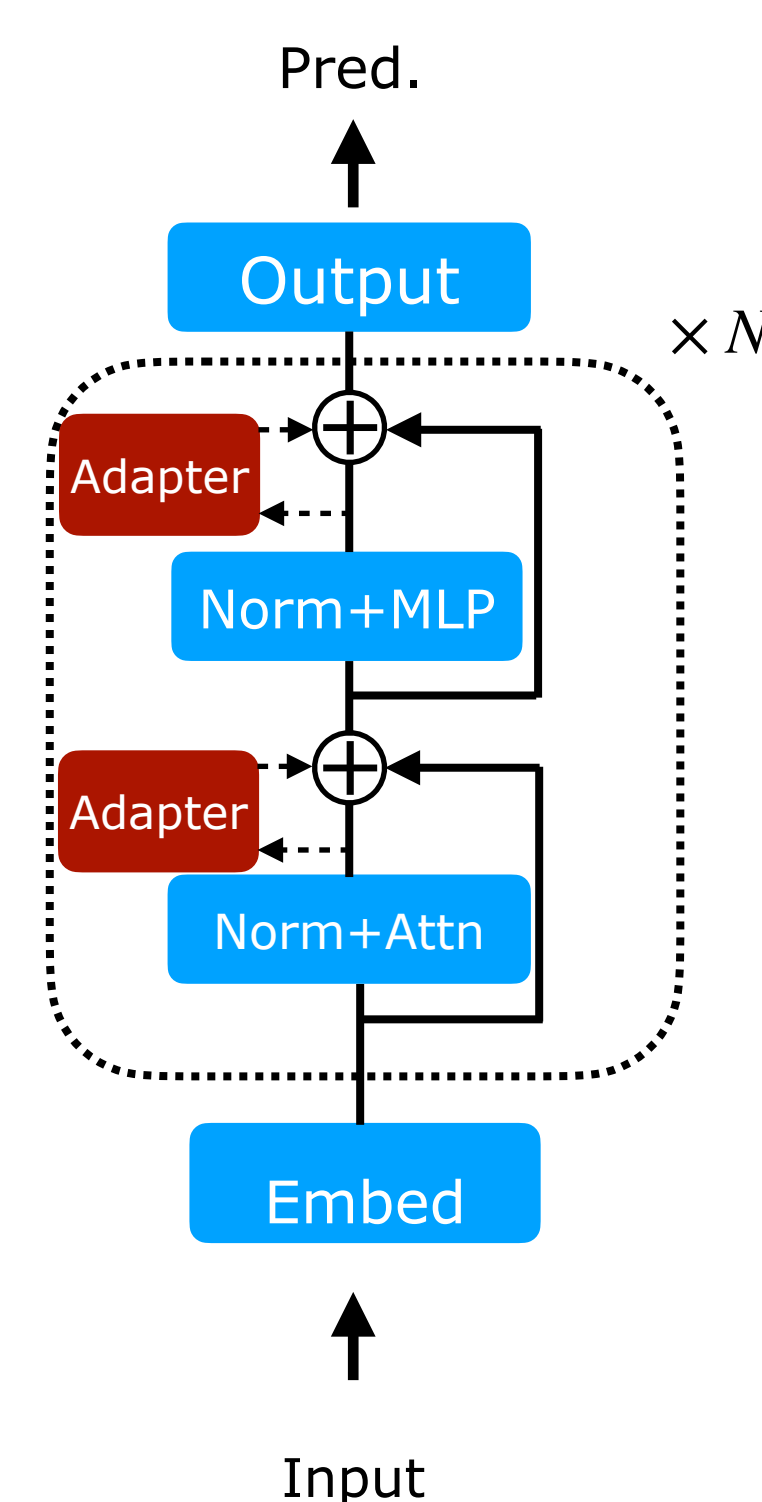
Arivazhagan et al. (2019)
Collins et al. ICML (2021)

Personalized input layer



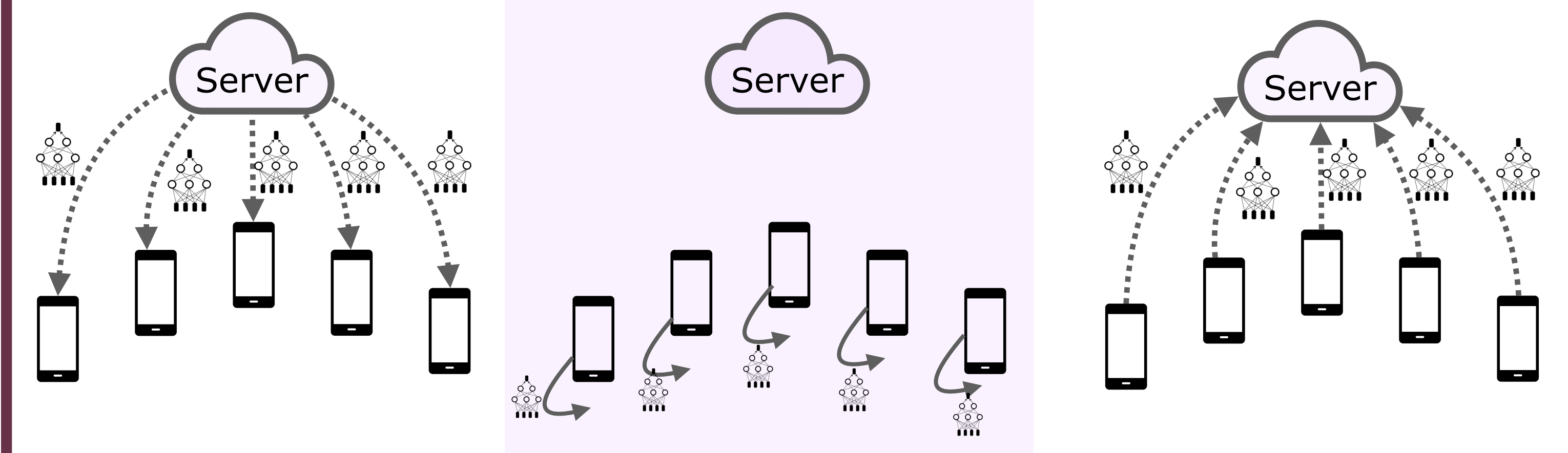
Liang et al. (2019)

Personalized adapters



Optimization Algorithms

1. Client sampling + model broadcast
2. Local updates
3. Aggregate updates



Alternating update

$$v_i^+ = v_i - \gamma \nabla_v F_i(u, v_i)$$

$$u_i^+ = u - \gamma \nabla_u F_i(u, v_i^+)$$

Collins et al. ICML (2021)
Singhal et al. NeurIPS (2021)

Simultaneous update

$$v_i^+ = v_i - \gamma \nabla_v F_i(u, v_i)$$

$$u_i^+ = u - \gamma \nabla_u F_i(u, v_i)$$

Liang et al. (2019)
Arivazhagan et al. (2019)

1. Theory

Theorem For smooth, nonconvex functions and client sampling, we have the rates:

Alternating update: $\frac{\sigma_1^2}{\sqrt{t}}$

Simultaneous update: $\frac{\sigma_2^2}{\sqrt{t}}$

where $\sigma_1^2 < \sigma_2^2$ under typical scenarios

Key technical challenge: Dependent random variables due to random sampling of clients

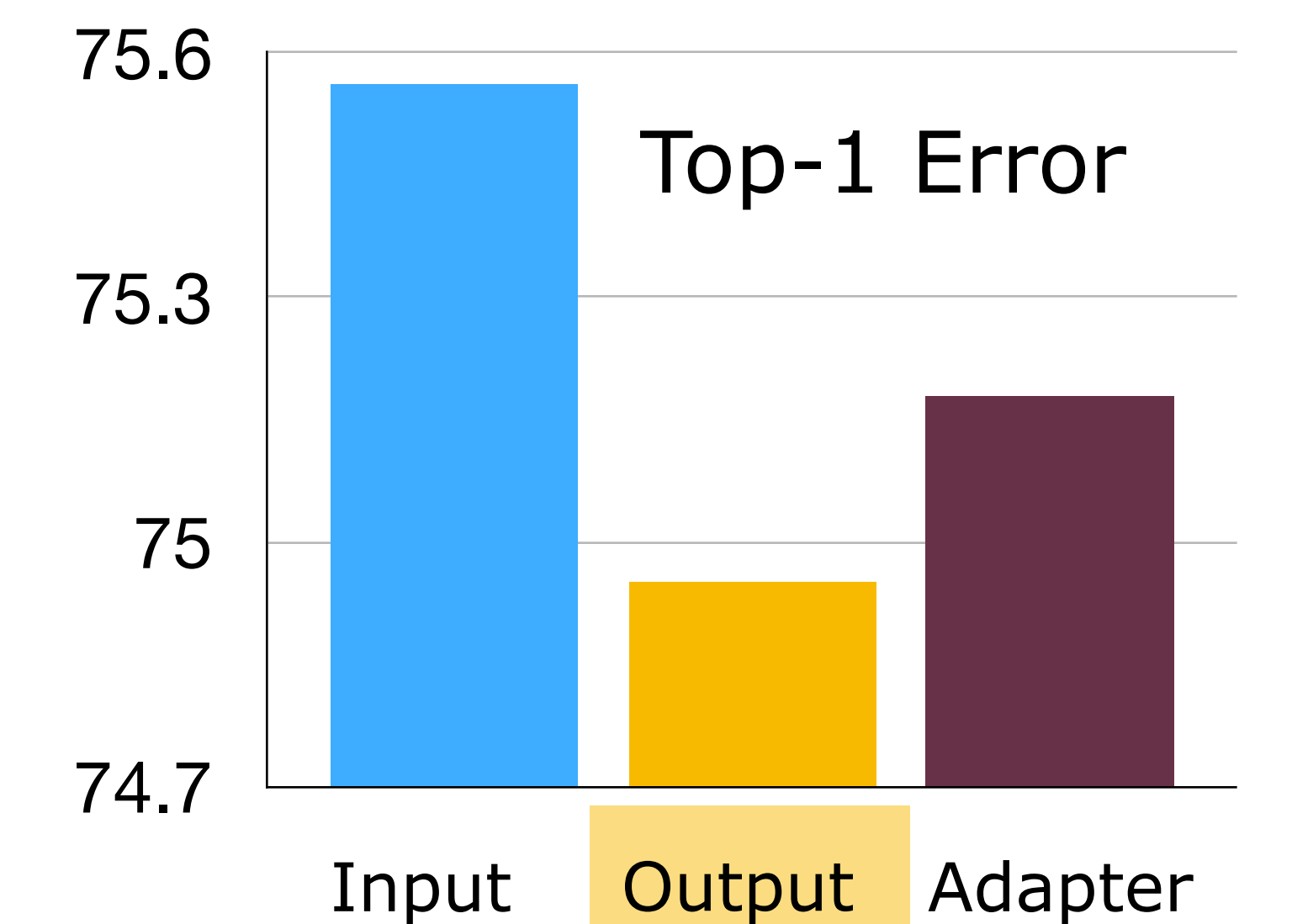
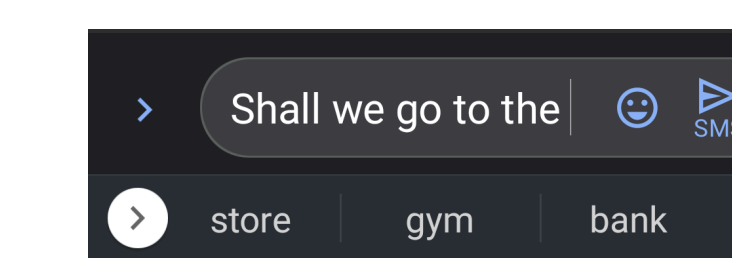
Methodology: virtual full participation

Experimentally, small but consistent trend of alternating > simultaneous

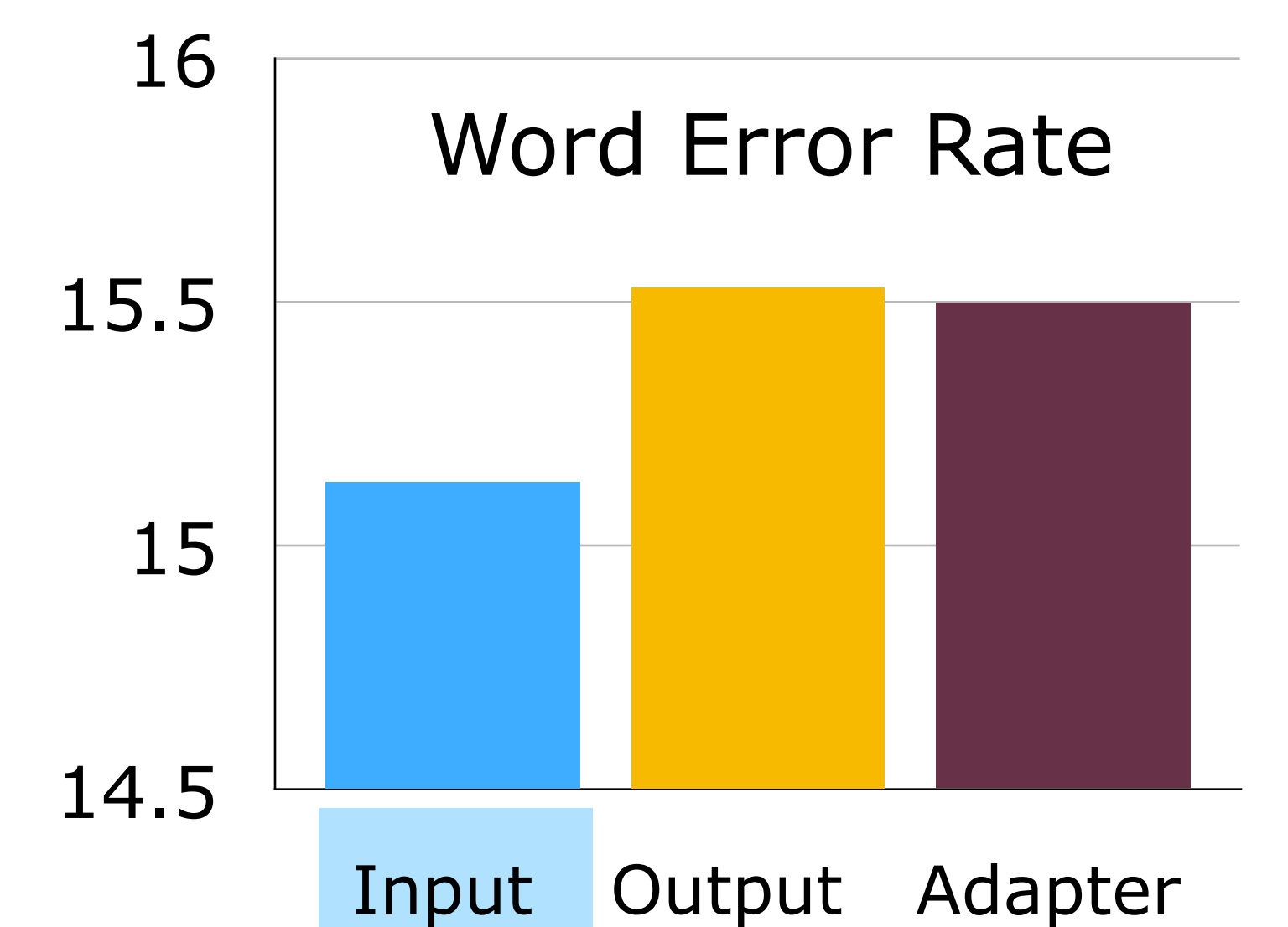
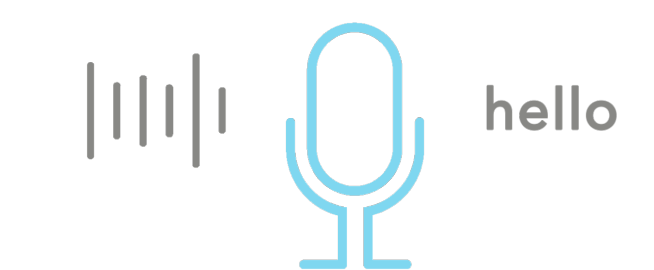
2. Experiments

Best personalization architecture depends on nature of data heterogeneity

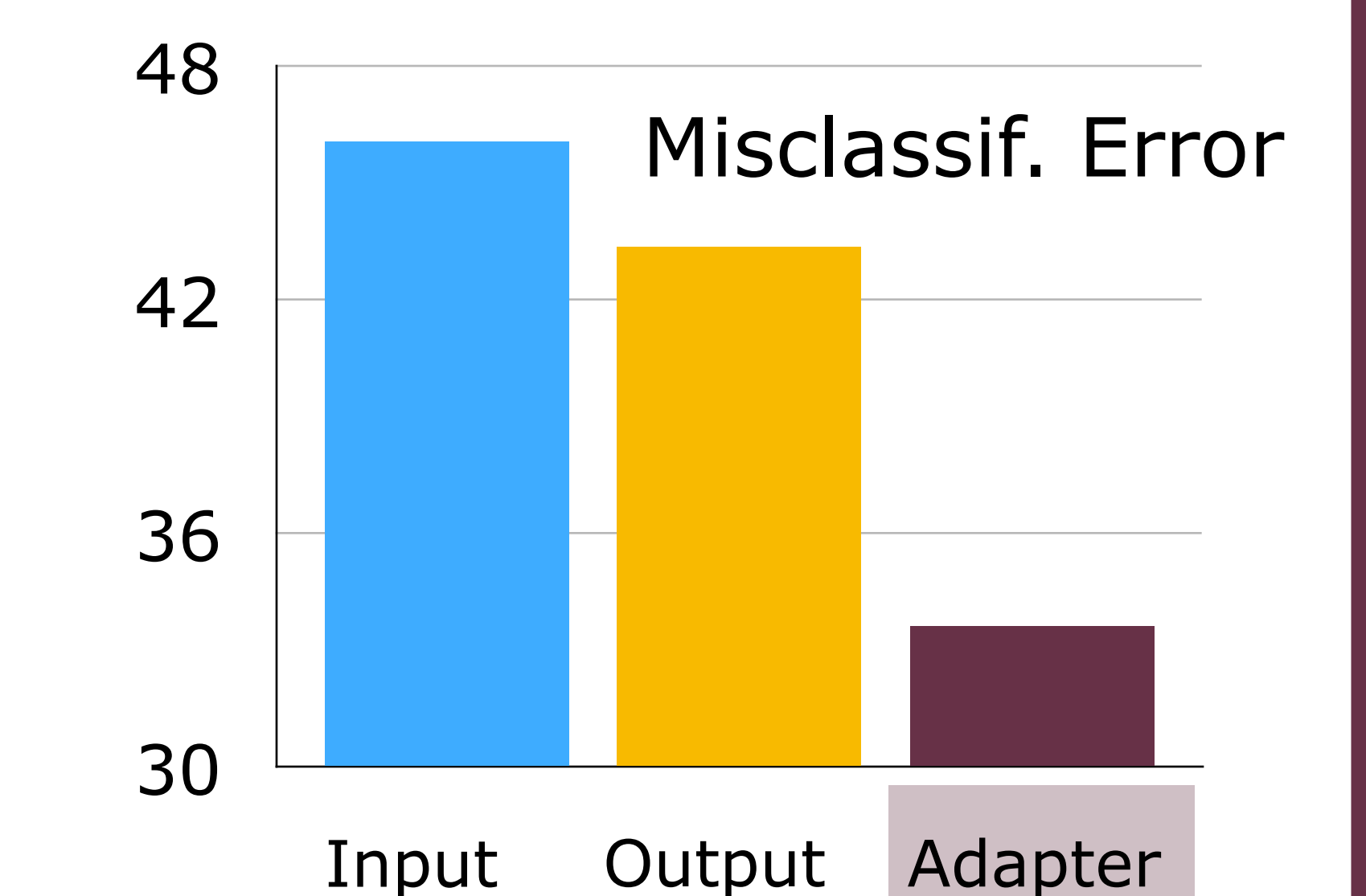
Next word prediction



Speech recognition



Landmark recognition



Choose your personalization architecture wisely!



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Code



SCAN ME